

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

7905.15.1

In Re Application Of Buchanan et al.

09/676,956

Filing Date

10/02/00

Examiner

Not Yet Assigned

Group Art Unit

2164

Title: **METHOD AND SYSTEM FOR PROCESSING FINANCIAL INSTRUMENT DEPOSITS
PHYSICALLY REMOTE FROM A FINANCIAL INSTITUTION**

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

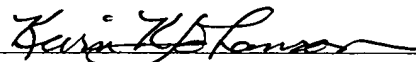
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on May 29, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

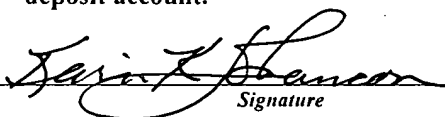


Signature of Person Mailing Correspondence

Kevin K. Johanson

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.


Signature

KEVIN K. JOHANSON, Reg. No. 38,506

Dated: May 29, 2001

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CC:



PATENT APPLICATION
Docket No: 7905.15.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
)
Buchanan, et al.)
) Art Unit
Serial No. 09/676,956) 2164
)
Filed: October 2, 2000)
)
For: METHOD AND SYSTEM FOR PROCESSING)
FINANCIAL INSTRUMENT DEPOSITS)
PHYSICALLY REMOTE FROM A FINANCIAL)
INSTITUTION)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

Please find, pursuant to 37 CFR § 1.98(a)(1), enclosed Form PTO-1449 that contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 CFR § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 37 CFR §§ 102 or 103, the enclosed list of references is disclosed to fully comply with the duty of disclosure set forth in 37 CFR § 1.56.

Pursuant to 37 CFR § 1.97(g) and (h), Applicant makes no representation that a search has been made or that the information cited is considered to be material to patentability. Additionally, Applicant makes no representation regarding the completeness of this list nor represents that other art does not exist.

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06/01/2001 SMNINMS 1000/10/10 09676956 180.00 CH 921:126

In accordance with 37 CFR §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

DATED this 29 day of May 2001.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Kevin K. Johanson", with a long horizontal flourish extending to the right.

KEVIN K. JOHANSON
Attorney for Applicant
Registration No. 38,506

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